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13	FUJIAN JINHUA INTEGRATED CIRCUIT CO	J., LID.
14	IN THE UNITED STAT	TES DISTRICT COURT
15	FOR THE NORTHERN DI	STRICT OF CALIFORNIA
16	SAN FRANCIS	SCO DIVISION
17	UNITED STATES OF AMERICA,	CASE NO.: 3:18-cr-00465-MMC
18	Plaintiff,	DEFENDANT FUJIAN JINHUA
19	,	INTEGRATED CIRCUIT CO., LTD.'S
20	V.	EXHIBIT LIST
21	UNITED MICROELECTRONICS CORPORATION, <i>et al.</i> ,	Judge: The Honorable Maxine M. Chesney Trial Date: February 14, 2022
22		That Date. Teordary 14, 2022
23	Defendants.	
24		
25		
26		
27		
28		
	DEFENDANT'S EXHIBIT LIST	CASE No.: 3:18-cr-00465-MMC

1 Pursuant to the April 15, 2021 Amended Scheduling Order in the above-captioned case (ECF 2 No. 160), Defendant Fujian Jinhua Integrated Circuit Co. Ltd. ("Jinhua") respectfully submits the 3 below list of exhibits it may introduce at trial in the above-captioned case. Jinhua will provide 4 translations of documents not in English prior to trial. 5 Jinhua reserves the right to supplement this list and to use additional documents, including but not limited to for impeachment or cross-examination purposes, not identified here. In addition, Jinhua reserves the right use at trial any exhibit listed by the government on its exhibit list (ECF No. 258) or any of the government's subsequent exhibit lists. Jinhua further reserves its right to object 9 to the admissibility of any exhibit, or part of any exhibit, or the purposes for which such exhibit is 10 being offered, notwithstanding that such exhibit is also identified herein. Jinhua further reserves the right to supplement or amend this list, up to and during the trial of this matter. **12** DATED: January 11, 2022 SKADDEN, ARPS, SLATE, MEAGHER & FLOM LLP 13 14 15 **16** Attorneys for Defendant FUJIAN JINHUA INTEGRATED CIRCUIT CO., LTD. 17 18 19 20 21 22 23 24 25 **26** 27 28 2

DATE: January 11, 2022

CASE No.: 3:18-cr-00465-MMC

CASE NO. 3:18-cr-00465-MMC

UNITED STATES v. FUJIAN JINHUA INTEGRATED CIRCUIT CO., LTD.

UNITED STATES DISTRICT COURT NORTHERN DISTRICT OF CALIFORNIA

FUJIAN JINHUA'S EXHIBIT LIST

BATES
N/A
D-0000160
D-0000800
ICC-0000001
ICC-0000001T
ICC-0000002
ICC-0000002T
ICC-0000005
ICC-0000006
ICC-0000006T
ICC-0000007
ICC-0000008
ICC-0000009
ICC-0000009T
ICC-0000012

28

1	EXHIBIT NUMBER	MARKED	ADMITTED	DESCRIPTION OF EXHIBIT	BATES
2	D3020			Consultant Hiring Contract [English]	JHICC-0000012T
3	D3021			DD_DRAM Development Path in UMC_2018Jan25.pptx [Chinese]	JHICC-0000014
4	D3022			DD_DRAM Development Path in UMC_2018Jan25.pptx [English]	JHICC-0000014T
5	D3023			Patent - KR20060076348A [English]	N/A
6 7	D3024			Patent - KR20060076348A [Korean]	N/A
8	D3025			Patent - KR20160015783A [English]	N/A
9	D3026			Patent - KR20160015783A [Korean]	N/A
10	D3027			Elpida-Powerchip Patent License.pdf	MICRON00000011
11	D3028			process ttla amendment.pdf	MICRON00000023
12	D3029			Project Night Sky - TTLA on 25nm DRAM Design Technology (Executed)_(PALI.pdf	MICRON00000029
13	D3030			Project Night Sky - TTLA on 25nm DRAM Process Technology (Executed)PAL.pdf	MICRON00000054
14	D3031			25nm DRAM DESIGN TECHNOLOGY V90B D version Training time card	MICRON00000121
15	D3032			4G3D_DesignTrainingPlan_1126_27.xls	MICRON00000122
16	D3033			Document list A_plusPE.xlsx	MICRON00000123
17	D3034			Document list B_plusPE.xlsx	MICRON00000124
18	D3035			result.rtf	MICRON00000125
19	D3036			Timeline	MICRON00000841
20	D3037			Transitror%20dimention%20trend%20for%20 DRAM%20development((Autosaved-	TAIWANHD-00000038
21	D3038			16702834.pptx	TAIWANHD-00000158
22	D3039			Microsoft_Excel_Worksheet1.xlsx	TAIWANHD-00000166
23	D3040			2E%20TEOS%20Roll%20off%20study((Unsav ed-305741123726328848)).xls	TAIWANHD-00000250
24	D3041			DDR4 HCI TABLE.xlsx	TAIWANHD-00000355
25	D3042			F32_corner_20161017_V1.xlsx	TAIWANHD-00000421
26	D3043			UMC DRAM TCAD 20160919.pdf	TAIWANHD-00000467
27	D3044			DRAM_Process_Report-Sample(46nm chipwork report).pdf	TAIWANHD-00000674

1	EXHIBIT NUMBER	MARKED	ADMITTED	DESCRIPTION OF EXHIBIT	BATES
2	D3045			DRAM S,H,M SA SWD 160317.pptx	TAIWANHD-00000691
3	D3046			TechInsights DRAM Roadmap-2015.pptx	TAIWANHD-00000699
4	D3047			TechInsights-DRAMRoadmap2014.ppt	TAIWANHD-00000701
5	D3048			F32 TV0 AJ88 mask information_20161223_v1.pptx	TAIWANHD-00001015
6	D3049			Project M - STI 卡通圖 V4 flow.pptx	TAIWANHD-00001025
7 8	D3050			Microsoft_PowerPoint1.pptx	TAIWANHD-00001044
9	D3051			F32 STI~BWL FMEA Review-0715M.pptx	TAIWANHD-00001109
10	D3052			PM2 Device1 weekly update_201601018.pptx	TAIWANHD-00001610
11	D3053			F32 DRAM Power Line Condition.pptx	TAIWANHD-00002115
12	D3054			patent proposal 1.pptx	TAIWANHD-00002181
13	D3055			F32 WAT Program Testplan Check_20170124_SG.xlsx	TAIWANHD-00002236
14	D3056			F32 TV0_Device TEG Description_20161226.xlsx	TAIWANHD-00002250
15	D3057			F32_Device Weekly report_CM_20161226.pptx	TAIWANHD-00002299
16	D3058			F32 DRAM DEV Weekly Report (Liwei)_20170109.pptx	TAIWANHD-00002310
17	D3059			PM1 monthly report for JHICC_Nov_2016.pptx	TAIWANHD-00002601
18	D3060			F32 LV3 STRIP readiness 文甫 2016- 1227.xlsx	TAIWANHD-00003887
19	D3061			F32 FMEA Review 20170120.pptx	TAIWANHD-00004277
20	D3062			0000004.eml	TAIWANHD-00004607
21	D3063			F32 STI~BWL FMEA Review-0628.pptx	TAIWANHD-00016170
22	D3064			F32-6F2 Flow Chart-JS-20130910.ppt	TAIWANHD-00028161
23	D3065			(ALL) IMP conditions Table_20150318.xlsx	TAIWANHD-02125103
24	D3066			F32 Mini array and cell boundary.20160908.pptx	TAIWANHD-02161205
25	D3067			Schematic_1_checking_0104_V2.pptx	TAIWANHD-02161373
26	D3068			AJ88 到站預估-20170206-leading.xlsx	TAIWANHD-02161608
27	D3069			Project M Milestone_20151228.pptx	TAIWANHD-02161629
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CASE No.: 3:18-cr-00465-MMC

1	EXHIBIT				
2	NUMBER	MARKED	ADMITTED	DESCRIPTION OF EXHIBIT	BATES
3	D3070			Cell CB CW 0420 B.pptx	TAIWANHD-02161663
	D3071			DRAM RnD Technology Roadmap- 20160808.pptx	TAIWANHD-02161668
4 5	D3072			DRAM工藝流程 and 技術藍圖report 20160811.pptx	TAIWANHD-02161671
6	D3073			0000070.eml	TAIWANHD-02165398
7	D3074			0000073.eml	TAIWANHD-02165401
8	D3075			0000081.eml	TAIWANHD-02165415
9	D3076			DRAM Reports 2010 - 2016.xlsx	TAIWANHD-02165416
10	D3077			0000100.eml	TAIWANHD-02165452
11	D3078			Mutual Non-disclosure Agreement.pdf	TAIWANHD-02165453
12	D3079			0000206.eml	TAIWANHD-02165589
13	D3080			F32 bWL OXIDE ETCH LV3 readiness.xlsx	TAIWANHD-02165947
14	D3081			F32_LML_20170113.xlsx	TAIWANHD-02166066
15	D3082			關於20171205 Micron Filed 的備註 _0122.docx	UMCDOJ-00010951
16	D3083			PM1 monthly report_May_2018.pptx	UMCDOJ-00107083
17	D3084			1255.msg	UMCDOJ-00109607
18	D3085			1259.msg	UMCDOJ-00109611
19	D3086			1401.msg	UMCDOJ-00110001
20	D3087			DD_20180125-1.pptx	UMCDOJ-00110003
21	D3088			T5377s_T5833_T5833J Benchmark.pptx	UMCDOJ-00112549
22	D3089			2094.msg	UMCDOJ-00112797
23	D3090			PM1 monthly report_Nov_2017.pptx	UMCDOJ-00112798
24	D3091			image003.jpg	UMCDOJ-00113857
25	D3092			DRAM-UMC-2017-10-16-v1-lt_Xi-Wei.pdf	UMCDOJ-00113858
26	D3093			2371.msg	UMCDOJ-00114097
27	D3094			2386.msg	UMCDOJ-00114125

1	EXHIBIT NUMBER	MARKED	ADMITTED	DESCRIPTION OF EXHIBIT	BATES
2	D3095			Tool Detail Config Summary.xlsx	UMCDOJ-00114589
3	D3096			招標書匯整.xlsx	UMCDOJ-00114590
4	D3097			2792.msg	UMCDOJ-00115499
5	D3098			UMC-DRAM_20170324_john_wang.pdf	UMCDOJ-00115502
6	D3099			3780.msg	UMCDOJ-00119418
7	D3100			Micron Analyst Conference 2017.pdf	UMCDOJ-00119419
8	D3101			0500.lnd	UMCDOJ-00125243
10	D3102			1032.lnd	UMCDOJ-00127069
11	D3103			Tool Demo Plan_07202016.pptx	UMCDOJ-00127071
12	D3104			3114.lnd	UMCDOJ-00132772
13	D3105			Project-M Progress Report_Mar 2017.pptx	UMCDOJ-00132774
14	D3106			1.xlsx	UMCDOJ-00132814
15	D3107			2.xls	UMCDOJ-00132815
16	D3108			Project-M Progress Report_Apr 2017.pptx	UMCDOJ-00133261
17	D3109			3337.lnd	UMCDOJ-00133758
18	D3110			DRAM Development Progress Report_APR_ 2017_V2簡.pptx	UMCDOJ-00133760
19	D3111			IT Buildup Strategy V2.5.pptx	UMCDOJ-00134246
20	D3112			1.xlsx	UMCDOJ-00134291
21	D3113			2.bmp	UMCDOJ-00134292
22	D3114			3.bmp	UMCDOJ-00134293
23	D3115			4.bmp	UMCDOJ-00134294
24	D3116			5.bmp	UMCDOJ-00134295
25	D3117			3469.lnd	UMCDOJ-00134478
26	D3118			CIS industry.docx	UMCDOJ-00134479
27	D3119			PM1 monthly report_May_2017_v1.pptx	UMCDOJ-00134548

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NUMBER	MARKED	ADMITTED	DESCRIPTION OF EXHIBIT	BATES
D3120			3527.lnd	UMCDOJ-00134868
D3121			DRAM Development Progress Report_May_ 2017簡V2.pptx	UMCDOJ-00134870
D3122			3530.lnd	UMCDOJ-00134951
D3123			Presentation 20170601 FJ.pptx	UMCDOJ-00134953
D3124			4293.lnd	UMCDOJ-00137696
D3125			F32s_TV1_MOU_20170815102011375.pdf	UMCDOJ-00137697
D3126			PM1 monthly report_Aug_2017.pptx	UMCDOJ-00137807
D3127			Tool Detail Config Summary.xlsx	UMCDOJ-00138611
D3128			4513.lnd	UMCDOJ-00138626
D3129			Request of Azimuthal Polarization 2017 0911_sf update.pptx	UMCDOJ-00138846
D3130			PM1 monthly report_Sep_2017.pptx	UMCDOJ-00139087
D3131			4644.lnd	UMCDOJ-00139222
D3132			4704.lnd	UMCDOJ-00139434
D3133			F32 Technology Transfer Proposal Rev2.pptx	UMCDOJ-00139436
D3134			4734.lnd	UMCDOJ-00139598
D3135			6F2 3x2 80x78 cell othogonal+Hexagonal layout.pptx	UMCDOJ-00139604
D3136			PM1 monthly report_Oct_2017.pptx	UMCDOJ-00140229
D3137			5066.lnd	UMCDOJ-00141394
D3138			5503.lnd	UMCDOJ-00143243
D3139			Annual report for JHICC_2017_part 1.pptx	UMCDOJ-00143525
D3140			Annual report for JHICC_2017_part 2.pptx	UMCDOJ-00143622
D3141			5883.lnd	UMCDOJ-00145064
D3142			JHICC Low Power DRAM Strategy- 20180118.pptx	UMCDOJ-00145065
D3143			5979.lnd	UMCDOJ-00145454
D3144			F32 Technical Transfer 2018 0125pptx.pptx	UMCDOJ-00145455
	D3120 D3121 D3122 D3123 D3124 D3125 D3126 D3127 D3128 D3129 D3130 D3131 D3132 D3133 D3134 D3135 D3136 D3137 D3138 D3139 D3140 D3141 D3142 D3143	NUMBER MARKED D3120 D3121 D3122 D3123 D3124 D3125 D3126 D3127 D3128 D3129 D3130 D3131 D3132 D3133 D3134 D3135 D3136 D3137 D3138 D3139 D3140 D3141 D3142 D3143	NUMBER MARKED ADMITTED D3120 D3121 D3121 D3122 D3122 D3123 D3124 D3125 D3126 D3127 D3128 D3129 D3130 D3131 D3132 D3133 D3133 D3134 D3135 D3136 D3137 D3138 D3139 D3140 D3141 D3142 D3143 D3143	DISCRIPTION OF EXHIBIT

4		1			
1	EXHIBIT NUMBER	MARKED	ADMITTED	DESCRIPTION OF EXHIBIT	BATES
2	D3145			PM1 monthly report_Feb_2018.pptx	UMCDOJ-00146564
3	D3146			PM2 weekly_20180302.pptx	UMCDOJ-00146725
4	D3147			6453.lnd	UMCDOJ-00147806
5	D3148			ICMAX Company profile_JHICC20180316.pdf	UMCDOJ-00147807
6 7	D3149			DDR3 Product Proposal_180409.pptx	UMCDOJ-00148096
8	D3150			20180410_Part number naming.pptx	UMCDOJ-00148545
9	D3151			PM1 monthly report_Apr_2018.pptx	UMCDOJ-00148975
10	D3152			PM1 monthly report_Jun_2018.pptx	UMCDOJ-00151503
11	D3153			20180614_Tera advantages .pdf	UMCDOJ-00151541
12	D3154			Tera Semicon Demo Proposal(20180619).pptx	UMCDOJ-00151549
13	D3155			PM1 monthly report_July_2018.pptx	UMCDOJ-00152776
14	D3156			PM2 Device1 F32s Device Target Ver0.1_20180726v1.pptx	UMCDOJ-00153137
15	D3157			PM1 monthly report_Aug_2018.pptx	UMCDOJ-00153775
16	D3158			PM1 monthly report_Sep_2018.pptx	UMCDOJ-00154508
17	D3159			DRAM工藝流程 and 技術藍圖report 20160811.pptx	UMCDOJ-00156047
18	D3160			2608.lnd	UMCDOJ-00156275
19	D3161			UMC Proposal.pdf	UMCDOJ-00156277
20	D3162			674.lnd	UMCDOJ-00159355
21	D3163			6F2 cell options_Project M-20160104.pptx	UMCDOJ-00159357
22	D3164			0896.lnd	UMCDOJ-00160034
23	D3165			6F2 3x2 80x78 cell othogonal+Hexagonal layout.pptx	UMCDOJ-00160060
24	D3166			3053.lnd	UMCDOJ-00172441
25	D3167			M Project impact.pptx	UMCDOJ-00172442
26	D3168			picture1.gif	UMCDOJ-00172446
27	D3169			3083.lnd	UMCDOJ-00172474

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1	EXHIBIT NUMBER	MARKED	ADMITTED	DESCRIPTION OF EXHIBIT	BATES
2	D3170			picture1.gif	UMCDOJ-00172476
3	D3171			Tool Demo Plan_07202016.pptx	UMCDOJ-00172570
4	D3172			3154.lnd	UMCDOJ-00172718
5	D3173			20160716 DRAMeXchange Presentation (晉江 演講).pdf	UMCDOJ-00172720
6 7	D3174			3991.lnd	UMCDOJ-00175451
8	D3175			技術合同技術性收入核定表說明	UMCDOJ-00175493
9	D3176			DRAM Development for NCKU talk v1.pptx	UMCDOJ-00178345
10	D3177			F32 Alignment table.20160527.pptx	UMCDOJ-00180934
11	D3178			026.lnd	UMCDOJ-00186562
12	D3179			TechInsights-DRAM-Roadmap-2015.pdf	UMCDOJ-00186563
13	D3180			42.lnd	UMCDOJ-00187053
14	D3181			Schematic.pptx	UMCDOJ-00187054
15	D3182			6515.lnd	UMCDOJ-00191606
16	D3183			160613UMC DRAM Proposal(説明資料).pptx	UMCDOJ-00236242
17	D3184			F32 Process Training Material 20170904.pptx	UMCDOJ-00236627
18	D3185			201610_DRAM Roadmap & Micron 20nm DRAM.pdf	UMCDOJ-00237826
19	D3186			TechInsights DRAM Roadmap-2015.pptx	UMCDOJ-00237929
20	D3187			TechInsights-DRAMRoadmap2014.ppt	UMCDOJ-00237983
21	D3188			TechInsights in public computer for ACMOS.pptx	UMCDOJ-00241327
22	D3189			F32 SC loop FMEA Review 20170322.pptx	UMCDOJ-00242671
23	D3190			SN Cs improvement 1206.pptx	UMCDOJ-00243953
24	D3191			STI APF HMO AMAT provide information.pdf	UMCDOJ-00244396
25	D3192			DRAM說明(聯電開發策略).pptx	UMCDOJ-00245399
26	D3193			STI gap-fill benchmarking.pptx	UMCDOJ-00245461
27	D3194			F32 DRAM Cell layout and Key Tech decision.pptx	UMCDOJ-00246222

CASE No.: 3:18-cr-00465-MMC

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1	EXHIBIT NUMBER	MARKED	ADMITTED	DESCRIPTION OF EXHIBIT	BATES
2	D3195			2071.lnd	UMCDOJ-00268793
3	D3196			F32 DRAM Cell layout and Key Tech decision.pptx	UMCDOJ-00268794
4	D3197			2170.lnd	UMCDOJ-00269365
5 6	D3198			DRAM_Technology_Products_Roadmap- 2017.pdf	UMCDOJ-00269366
7	D3199			picture1.gif	UMCDOJ-00269374
8	D3200			0572.lnd	UMCDOJ-00275918
9	D3201			SNC recess recipe concept 演進,pptx	UMCDOJ-00275920
10	D3202			1689.lnd	UMCDOJ-00284532
11	D3203			BM2 2x node DRAM X-S image-SA, BL.ppt	UMCDOJ-00284533
12	D3204			6035.lnd	UMCDOJ-00294102
13	D3205			何建廷案大綱.docx	UMCDOJ-00294103
14	D3206			DRAM說明.pptx	UMCDOJ-00294107
15	D3207			6292.lnd	UMCDOJ-00296378
16	D3208			Samsung Memory_Business in 2013 Analyst Day.pdf	UMCDOJ-00296380
17	D3209			6711.lnd	UMCDOJ-00299558
18	D3210			picture1.gif	UMCDOJ-00299561
19	D3211			7225.lnd	UMCDOJ-00302956
20	D3212			T5377s_T5833_T5833J Benchmark.pptx	UMCDOJ-00302957
21	D3213			20171109_DRAM Product Mix Analysis_Update.pptx	UMCDOJ-00302958
22	D3214			5986.lnd	UMCDOJ-00313401
23	D3215			F32 AM01 SC-BC Short Penetration 091118.pptx	UMCDOJ-00313404
24	D3216			picture1.gif	UMCDOJ-00313411
25	D3217			picture2.gif	UMCDOJ-00313412
26	D3218			6879.lnd	UMCDOJ-00317320
27	D3219			160613UMC DRAM Proposal(説明資料).pptx	UMCDOJ-00317322

1	EXHIBIT NUMBER	MARKED	ADMITTED	DESCRIPTION OF EXHIBIT	BATES
2	D3220			153.lnd	UMCDOJ-00320140
3	D3221			picture1.gif	UMCDOJ-00320141
4	D3222			picture2.gif	UMCDOJ-00320142
5	D3223			3894.lnd	UMCDOJ-00365603
6 7	D3224			UMC R&D Capability for DRAM Process Development.pptx	UMCDOJ-00365605
8	D3225			664.lnd	UMCDOJ-00373302
9	D3226			Project M Process Flow @ 0517 2016.pptx	UMCDOJ-00373303
10	D3227			057.lnd	UMCDOJ-00395774
11	D3228			picture1.gif	UMCDOJ-00395776
12	D3229			picture2.gif	UMCDOJ-00395777
13	D3230			5588.lnd	UMCDOJ-00399085
14	D3231			picture1.gif	UMCDOJ-00399086
15	D3232			6022.lnd	UMCDOJ-00399227
16	D3233			G-RTP-DRAM32N-1P3M-TLR-Ver0_1P2- 20160725.doc	UMCDOJ-00399232
17	D3234			188.lnd	UMCDOJ-00420230
18	D3235			s-company 20nm 4Gb DDR4_001.jpg	UMCDOJ-00420241
19	D3236			s-company 20nm 4Gb DDR4.jpg	UMCDOJ-00420242
20	D3237			h-company 21nm 4Gb DDR4.jpg	UMCDOJ-00420243
21	D3238			PFA Request for BM SA structure_V3.pptx	UMCDOJ-00420244
22	D3239			PFA Request for BM SA structure_V2.pptx	UMCDOJ-00420253
23	D3240			PFA Request for BM SA structure_V1.pptx	UMCDOJ-00420260
24	D3241			AJ55 to AJ88 evolution_3_vs AJ88 (etch feedback).xlsx	UMCDOJ-00442222
25	D3242			2017 NBD 方針展開_PM1ET_Q4_2017.xlsx	UMCDOJ-00442782
26	D3243			PM1_2018 方針展開_ 工作表 v1 (ET).xlsx	UMCDOJ-00443469
27	D3244			Technical Transfer - Polyimide.pptx	UMCDOJ-00447766

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1	EXHIBIT NUMBER	MARKED	ADMITTED	DESCRIPTION OF EXHIBIT	BATES
2	D3245			MMHKELLISO-B01 config.pptx	UMCDOJ-00447852
3	D3246			PM1 monthly report_July_2017 (ET).pptx	UMCDOJ-00448730
4	D3247			F32S Monthly Report (Etch) 0926.pptx	UMCDOJ-00475722
5 6	D3248			DRAM BEOL etch process introduction v220160802.pptx	UMCDOJ-00484236
7	D3249			1.xlsx	UMCDOJ-00484261
8	D3250			2.xlsx	UMCDOJ-00484262
9	D3251			PM1-Etch Monthly Report 20161114.pptx	UMCDOJ-00487409
10	D3252			Schematic_1_checking_0104_V2 for bl Side wall SiN estimation 2016.pptx	UMCDOJ-00488768
11	D3253			DRAM Development Progress_0815_v4_簡.pptx	UMCDOJ-00489146
12	D3254			Project M_Milestone_20160316_sf tzou.pptx	UMCDOJ-00489225
13	D3255			PM1 monthly report for JHICC_Feb_2017.pptx	UMCDOJ-00489423
14	D3256			PM1 monthly report for JHICC_Mar_2017.pptx	UMCDOJ-00489447
15	D3257			PM1 monthly report_Jan_2018.pptx	UMCDOJ-00489469
16	D3258			1.xlsx	UMCDOJ-00489496
17	D3259			Project M_Module Weekly Report_20160712.pptx	UMCDOJ-00489683
18	D3260			PM1 monthly report_Jun_2017.pptx	UMCDOJ-00489979
19	D3261			PM1 monthly report_Nov_2017.pptx	UMCDOJ-00490007
20	D3262			028.lnd	UMCDOJ-00492178
21	D3263			6F2 cell options_Project M-20160114_SF update-rev2.pptx	UMCDOJ-00492179
22	D3264			030.lnd	UMCDOJ-00492204
23	D3265			Project M Milestone V2_20160118-neillee.pptx	UMCDOJ-00492207
24	D3266			1.xlsx	UMCDOJ-00492219
25	D3267			26.lnd	UMCDOJ-00493517
26	D3268			Samsung 20 sample.pdf	UMCDOJ-00493520
27	D3269			32.lnd	UMCDOJ-00493581
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CASE No.: 3:18-cr-00465-MMC

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1	EXHIBIT NUMBER	MARKED	ADMITTED	DESCRIPTION OF EXHIBIT	BATES
2	D3270			6F2 cell options_Project M-20160104.pptx	UMCDOJ-00493583
3	D3271			F32 3x2.xlsx	UMCDOJ-00493587
4	D3272			picture1.gif	UMCDOJ-00493588
5	D3273			7328.msg	UMCDOJ-00674195
6 7	D3274			MMPOLY-B02 TierII ER Report_Customer.pptx	UMCDOJ-00674198
8	D3275			Project M master plan_v1.xlsx	UMCDOJ-00680385
9	D3276			576.lnd	UMCDOJ-00682084
10	D3277			DPT comparison.pptx	UMCDOJ-00682087
11	D3278			Project-M Progress Report_20170309_v3.pptx	UMCDOJ-00705010
12	D3279			0793.lnd	UMCDOJ-00710228
13	D3280			PM1 Weekly Report_sftzou_20170405.pptx	UMCDOJ-00710230
14	D3281			PM1 monthly report for JHICC_Feb_2017.pptx	UMCDOJ-00711903
15	D3282			picture1.gif	UMCDOJ-00711927
16	D3283			picture2.gif	UMCDOJ-00711928
17	D3284			PRS L45 SP1-20071102.xls	UMCDOJ-00721680
18	D3285			Project-M Progress Report_201710_v1_sfupdate.pptx	UMCDOJ-00739427
19	D3286			PM1 monthly report_Sep_2017.pptx	UMCDOJ-00740302
20	D3287			2669.lnd	UMCDOJ-00776596
21	D3288			JHICC SupremaXP HDIS process tuning update and proposal_011818.pdf	UMCDOJ-00776597
22	D3289			2723.lnd	UMCDOJ-00777022
23	D3290			SNC recess recipe concept 演進.pptx	UMCDOJ-00777024
24	D3291			K65KC.46#07_C0001.jpg	UMCDOJ-00777026
25	D3292			K65KC.46#07_C0002.jpg	UMCDOJ-00777027
26	D3293			K65KC.46#07_C0003.jpg	UMCDOJ-00777028
27	D3294			K65KC.46#07_C0004.jpg	UMCDOJ-00777029

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2	D3295			K65KC.46#07_C0005.jpg	UMCDOJ-00777030
3	D3296			K65KC.46#07_C0006.jpg	UMCDOJ-00777031
4	D3297			K65KC.46#07_E0001.jpg	UMCDOJ-00777032
5	D3298			K65KC.46#07_E0002.jpg	UMCDOJ-00777033
6 7	D3299			K65KC.46#07_E0003.jpg	UMCDOJ-00777034
8	D3300			K65KC.46#07_E0004.jpg	UMCDOJ-00777035
9	D3301			K65KC.46#07_E0005.jpg	UMCDOJ-00777036
10	D3302			K65KC.46#07_E0006.jpg	UMCDOJ-00777037
11	D3303			Project-M Progress Report_201706_v3.pptx	UMCDOJ-00826286
12	D3304			Project-M Progress Report_201706_v2.pptx	UMCDOJ-00826313
13	D3305			PM1 monthly report_Oct_2018.pptx	UMCDOJ-00833262
14	D3306			233.lnd	UMCDOJ-00838452
15	D3307			Project M mini array_version 3.2 - 20160325.pptx	UMCDOJ-00838455
16	D3308			Project M mini array_version 3.1 - 20160323.pptx	UMCDOJ-00838465
17	D3309			1153.lnd	UMCDOJ-00841489
18	D3310			Peripheral Plane View_SEM.pptx	UMCDOJ-00841492
19	D3311			Project M mini array_version 2.2 - 20160309_SR_modified.pptx	UMCDOJ-00841520
20	D3312			1532.lnd	UMCDOJ-00847051
21	D3313			Project-M Progress Report_May 2017.pptx	UMCDOJ-00847052
22	D3314			1781.lnd	UMCDOJ-00882811
23	D3315			Reverse Engineering for SNPAD W residue.pptx	UMCDOJ-00882815
24	D3316			D1-YS Gate_88k-3.jpg	UMCDOJ-00882816
25	D3317			D1-YS Gate_88k-2.jpg	UMCDOJ-00882817
26	D3318			D1-YS Gate_88k-1.jpg	UMCDOJ-00882818
27	D3319			D1-YS Gate_64k-2.jpg	UMCDOJ-00882819

1	EXHIBIT NUMBER	MARKED	ADMITTED	DESCRIPTION OF EXHIBIT	BATES
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3	D3321			D1-YS Gate_39k.jpg	UMCDOJ-00882821
4	D3322			D1-YS Gate_26.5k.jpg	UMCDOJ-00882822
5	D3323			D1-YS Gate_13.5k.jpg	UMCDOJ-00882823
6	D3324			D1-YS Gate_6.3k.jpg	UMCDOJ-00882824
7	D3325			D1_320k-1.jpg	UMCDOJ-00882825
8	D3326			D1_320k.jpg	UMCDOJ-00882826
9	D3327			D1_160k.jpg	UMCDOJ-00882827
11	D3328			D1_79k.jpg	UMCDOJ-00882828
12	D3329			D1_630k.jpg	UMCDOJ-00882829
13	D3330			D1_320k-2.jpg	UMCDOJ-00882830
14	D3331			D3-SAP_13.5k.jpg	UMCDOJ-00882831
15	D3332			D3-SAP_8.9k.jpg	UMCDOJ-00882832
16	D3333			D3-SAP_6.3k.jpg	UMCDOJ-00882833
17	D3334			D3-Cell_88k.jpg	UMCDOJ-00882834
18	D3335			D3-Cell_64k.jpg	UMCDOJ-00882835
19	D3336			D3-Cell_39k.jpg	UMCDOJ-00882836
20	D3337			D3-Cell_26.5k.jpg	UMCDOJ-00882837
21	D3338			D3-Cell_13.5k.jpg	UMCDOJ-00882838
22	D3339			D3-SAP_88k-1.jpg	UMCDOJ-00882839
23	D3340			D3-SAP_88k.jpg	UMCDOJ-00882840
24	D3341			D3-SAP_64k.jpg	UMCDOJ-00882841
25	D3342			D3-SAP_39k.jpg	UMCDOJ-00882842
26	D3343			D3-SAP_26.5k.jpg	UMCDOJ-00882843
27	D3344			D3_320k.jpg	UMCDOJ-00882844

DEFENDNAT'S EXHIBIT LIST CASE NO.: 3:18-cr-00465-MMC

EXHIBIT NUMBER D3345	MARKED	ADMITTED	DESCRIPTION OF EXHIBIT	BATES
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D3346			D3_79k.jpg	UMCDOJ-00882846
D3347			D3_320k-1.jpg	UMCDOJ-00882847
D3348			picture1.gif	UMCDOJ-00882848
D3349			picture2.gif	UMCDOJ-00882849
D3350			picture3.gif	UMCDOJ-00882850
D3351			picture4.gif	UMCDOJ-00882851
D3352			picture5.gif	UMCDOJ-00882852
D3353			1783.lnd	UMCDOJ-00894540
D3354			3707.lnd	UMCDOJ-00904117
D3355			TP BM.xlsx	UMCDOJ-00904119
D3356			picture1.gif	UMCDOJ-00904120
D3357			picture2.gif	UMCDOJ-00904121
D3358			picture3.gif	UMCDOJ-00904122
D3359			picture4.gif	UMCDOJ-00904123
D3360			RE report-Micron-201808021.ppt	UMCDOJ-00916015
D3361			RE report-UMC-20180821.ppt	UMCDOJ-00916081
D3362			161.lnd	UMCDOJ-00919364
D3363			F32 technology development 20181023.pptx	UMCDOJ-00919365
D3364			1140.lnd	UMCDOJ-00922326
D3365			动态随机存储器研发月报 (2016.11).pdf	UMCDOJ-00922327
D3366			Gross Die Estimation_Rev0.0.pdf	UMCDOJ-00923164
D3367			2958.lnd	UMCDOJ-00926174
D3368			20170117 Development plan for JSC meeting.pptx	UMCDOJ-00926175
D3369			3111.lnd	UMCDOJ-00926601
	D3347 D3348 D3349 D3350 D3351 D3352 D3353 D3354 D3355 D3356 D3357 D3358 D3360 D3361 D3362 D3363 D3364 D3365 D3365 D3366 D3367 D3368	D3347 D3348 D3349 D3350 D3351 D3352 D3353 D3354 D3355 D3356 D3357 D3358 D3360 D3361 D3362 D3363 D3364 D3365 D3366 D3367 D3368	D3347 D3348 D3349 D3350 D3351 D3352 D3353 D3354 D3355 D3356 D3357 D3358 D3360 D3361 D3362 D3363 D3364 D3365 D3366 D3367 D3368	D3347 D3_320k-1.jpg

EXHIBIT NUMBER	MARKED	ADMITTED	DESCRIPTION OF EXHIBIT	BATES
D3370			F32 Technology Transfer Proposal.pptx	UMCDOJ-00926602
D3371			004.lnd	UMCDOJ-00928448
D3372			6F2 cell options_Project M-20160104_2.pptx	UMCDOJ-00928458
D3373			picture1.gif	UMCDOJ-00928465
D3374			picture2.gif	UMCDOJ-00928466
D3375			26.lnd	UMCDOJ-00929145
D3376			Samsung 20 sample.pdf	UMCDOJ-00929148
D3377			BEOL process disccusion-ver2.pptx	UMCDOJ-01035898
D3378			0169.lnd	UMCDOJ-01036672
D3379			design rule 0.1P5~0.1P6 value_v1.xlsx	UMCDOJ-01036676
D3380			picture2.gif	UMCDOJ-01036678
D3381			0187.lnd	UMCDOJ-01036708
D3382			design rule 0.1P5~0.1P6 value_v2.xlsx	UMCDOJ-01036713
D3383			picture2.gif	UMCDOJ-01036715
D3384			Design Cooperation Comparison.pptx	UMCDOJ-01040964
D3385			0751.lnd	UMCDOJ-01041447
D3386			2680.lnd	UMCDOJ-01047135
D3387			Training Session- 01 DDR Evolution and DRAM Technology Roadmap.pptx	UMCDOJ-01047136
D3388			Training Session- 02 DRAM Layout and Voltage Terms.pptx	UMCDOJ-01047160
D3389			2886.lnd	UMCDOJ-01047911
D3390			DRAM storage capacitor pattern and process design 0703.pptx	UMCDOJ-01047913
D3391			TextScan.Htm	UMCDOJ-01047972
D3392			2911.lnd	UMCDOJ-01048055
D3393			picture1.gif	UMCDOJ-01048115
D3394			3138.lnd	UMCDOJ-01048976
	D3370 D3371 D3372 D3373 D3374 D3375 D3376 D3376 D3377 D3378 D3379 D3380 D3381 D3382 D3383 D3384 D3385 D3386 D3387 D3388 D3389 D3390 D3391 D3392 D3393	NUMBER MARKED D3370 D3371 D3372 D3373 D3374 D3375 D3376 D3377 D3379 D3380 D3381 D3382 D3383 D3384 D3385 D3386 D3387 D3388 D3389 D3390 D3391 D3393	NUMBER MARKED ADMITTED D3370 D3371 D3372 D3373 D3374 D3375 D3378 D3379 D3380 D3381 D3382 D3383 D3384 D3385 D3386 D3387 D3389 D3391 D3393	NUMBER MARKED ADMITTED DESCRIPTION OF EXHIBIT D3370 F32 Technology Transfer Proposal.pptx D3371 004.lnd D3372 6F2 cell options_Project M-20160104_2.pptx D3373 picture1.gif D3374 picture2.gif D3375 26.lnd D3376 Samsung 20 sample.pdf D3377 BEOL process disccusion-ver2.pptx D3378 0169.lnd D3379 design rule 0.1P5-0.1P6 value_v1.xlsx D3380 picture2.gif D3381 0187.lnd D3382 design rule 0.1P5-0.1P6 value_v2.xlsx D3383 picture2.gif D3384 Design Cooperation Comparison.pptx D3385 0751.lnd D3386 2680.lnd D3387 Training Session-01 DDR Evolution and DRAM Technology Roadmap.pptx D3388 Training Session-02 DRAM Layout and Voltage Terms.pptx D3389 2886.lnd D3390 DRAM storage capacitor pattern and process design 0703.pptx D3391 TextScan.Htm D3393 picture1.gif </th

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2	D3395			TLR revision 紀錄_20180711_v6.xlsx	UMCDOJ-01048977
3	D3396			picture1.gif	UMCDOJ-01048978
4	D3397			00499.msg	UMCDOJ-01058147
5	D3398			RE report-Micron-智發20180914.pptx	UMCDOJ-01058148
6 7	D3399			DRAM 元件與製程分析報告r3-P1-P9.pptx	UMCDOJ-01058317
8	D3400			DRAM 元件與製程分析報告r3-P17-P21.pptx	UMCDOJ-01058326
9	D3401			00506.msg	UMCDOJ-01058347
10	D3402			DRAM 元件與製程分析報告r3-P1-P9.pptx	UMCDOJ-01058352
11	D3403			00561.msg	UMCDOJ-01058738
12	D3404			00563.msg	UMCDOJ-01058743
13	D3405			未命名的附件 01207.gif	UMCDOJ-01058746
14	D3406			00566.msg	UMCDOJ-01058786
15	D3407			00579.msg	UMCDOJ-01058823
16	D3408			00804.msg	UMCDOJ-01060217
17	D3409			RE report-Micron-201808010.ppt	UMCDOJ-01060218
18	D3410			00905.msg	UMCDOJ-01060644
19	D3411			00913.msg	UMCDOJ-01060668
20	D3412			RE report-Micron-20180727.ppt	UMCDOJ-01060669
21	D3413			00978.msg	UMCDOJ-01060902
22	D3414			未命名的附件 02316.gif	UMCDOJ-01060903
23	D3415			Micron-Active-explain.pptx	UMCDOJ-01060904
24	D3416			00999.msg	UMCDOJ-01060962
25	D3417			SIPTK Quotation 20180720-UMC.pdf	UMCDOJ-01060963
26	D3418			01032.msg	UMCDOJ-01061053
27	D3419			F32 Technical Transfer Plan 20170728.pptx	UMCDOJ-01069436
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2	D3420			1.xls	UMCDOJ-01069441
3	D3421			04850.msg	UMCDOJ-01071442
4	D3422			Everspin_Response_and_Questions_June2017. pdf	UMCDOJ-01071446
5	D3423			09958.msg	UMCDOJ-01080304
6 7	D3424			11929.msg	UMCDOJ-01086415
8	D3425			TC_0114-35632-O-5DC-100.pdf	UMCDOJ-01086416
9	D3426			TC_0314-36479-O-5DM-100.pdf	UMCDOJ-01086423
10	D3427			5503.lnd	UMCDOJ-01094640
11	D3428			5620.lnd	UMCDOJ-01094688
12	D3429			5881.lnd	UMCDOJ-01094760
13	D3430			關於20171205 Micron Filed 的備註 _0117.docx	UMCDOJ-01094761
14	D3431			071.lnd	UMCDOJ-01114748
15	D3432			picture1.gif	UMCDOJ-01114753
16	D3433			picture2.gif	UMCDOJ-01114754
17	D3434			picture3.gif	UMCDOJ-01114755
18	D3435			072.lnd	UMCDOJ-01114756
19	D3436			picture1.gif	UMCDOJ-01114762
20	D3437			picture2.gif	UMCDOJ-01114763
21	D3438			picture3.gif	UMCDOJ-01114764
22	D3439			1058.lnd	UMCDOJ-01129011
23	D3440			BM2 2x node DRAM P-V image.ppt	UMCDOJ-01129014
24	D3441			1179.lnd	UMCDOJ-01129333
25	D3442			1527.lnd	UMCDOJ-01131705
26	D3443			2197.lnd	UMCDOJ-01136057
27	D3444			JD_cell device.doc	UMCDOJ-01136059

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2	D3445			1938.lnd	UMCDOJ-01138860
3	D3446			3905.lnd	UMCDOJ-01142371
4	D3447			UMC Proposal.pdf	UMCDOJ-01142375
5 6	D3448			computing_DDR4_H5AN4G4(8_6)NAFR(Rev 1.0)_hynix.pdf	UMCDOJ-01142436
7	D3449			0643.lnd	UMCDOJ-01143575
8	D3450			INVOICE.pdf	UMCDOJ-01143577
9	D3451			1007.lnd	UMCDOJ-01144329
10	D3452			201610_UMC Q&A.pptx	UMCDOJ-01144330
11	D3453			4184.lnd	UMCDOJ-01150686
12	D3454			4187.lnd	UMCDOJ-01150691
13	D3455			1080.lnd	UMCDOJ-01155059
14	D3456			1154.lnd	UMCDOJ-01155381
15	D3457			DRAM Development Path in UMC_v1.pptx	UMCDOJ-01155382
16	D3458			1283.lnd	UMCDOJ-01155923
17	D3459			UMC035670 - F.xlsx	UMCDOJ-01155931
18	D3460			picture1.gif	UMCDOJ-01155932
19	D3461			4092.lnd	UMCDOJ-01170754
20	D3462			UMC design rule for F32-SA production.xlsx	UMCDOJ-01170755
21	D3463			5062.lnd	UMCDOJ-01172929
22	D3464			F32 6F2(3x2,2x3) discussion- JT_20160510_rev4.pptx	UMCDOJ-01172930
23	D3465			4180.lnd	UMCDOJ-01173589
24	D3466			design rule 0.1P5~0.1P6 value_v6 by ltj(modified)_v4.xlsx	UMCDOJ-01173592
25	D3467			Supporting data(others info.).pptx	UMCDOJ-01173593
26	D3468			F32 DRC analysis_20170105_v1.pptx	UMCDOJ-01173676
27	D3469			design rule 0.1P5~0.1P6 value_v6 by ltj(modified)_v2.xlsx	UMCDOJ-01173713

1	EXHIBIT	Π			1
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	D3470			Supporting data of UMI 20160314verpptx	UMCDOJ-01173714
3	D3471			20160711 ESMT meeting minutes.xlsx	UMCDOJ-01173758
4	D3472			design rule 0.1P5~0.1P6 value_v6 by ltj(modified)_v1.xlsx	UMCDOJ-01173759
5	D3473			design rule 0.1P5~0.1P6 value_v6 by ltj(modified).xlsx	UMCDOJ-01173760
6 7	D3474			design rule 0.1P5~0.1P6 value_v6 by ltj.xlsx	UMCDOJ-01173761
8	D3475			4217.lnd	UMCDOJ-01173947
9	D3476			Presentation 20171003 MoEA.pptx	UMCDOJ-01173948
10	D3477			DRAM chip top view.pptx	UMCDOJ-01174949
11	D3478			美光案資料準備_20171018.pptx	UMCDOJ-01175558
12	D3479			4947.lnd	UMCDOJ-01175780
13	D3480			r_8b_drm_25_f_99_07_0.pdf	UMCDOJ-01175783
14	D3481			r_8c_drm_21_f_99_10_0.pdf	UMCDOJ-01175804
15	D3482			picture1.gif	UMCDOJ-01175828
16	D3483			picture2.gif	UMCDOJ-01175829
17	D3484			5012.lnd	UMCDOJ-01176084
18	D3485			R_8F_DRM_18_D_01_05_0.pdf	UMCDOJ-01176088
19	D3486			R_CA_DRM_15_D_02_08_GRC_S.pdf	UMCDOJ-01176099
20	D3487			picture1.gif	UMCDOJ-01176114
21	D3488			picture2.gif	UMCDOJ-01176115
22	D3489			4829.lnd	UMCDOJ-01178229
23	D3490			4895.lnd	UMCDOJ-01182549
24	D3491			技術移轉清单V0.1-20171206V0.5.xlsx	UMCDOJ-01182551
25	D3492			5243.lnd	UMCDOJ-01183177
26	D3493			G-03-DRAM15-2.8V_3.3V-5P2M-TLR- Ver.0.3 obsoleted_P1.pdf	UMCDOJ-01183178
27	D3494			4653.lnd	UMCDOJ-01184721

1	EXHIBIT NUMBER	MARKED	ADMITTED	DESCRIPTION OF EXHIBIT	BATES
2	D3495			UMC F32 DRAM to JHICC Transfer Document List.xlsx	UMCDOJ-01184723
3	D3496			2393.lnd	UMCDOJ-01188743
4	D3497			D3 screen.xlsx	UMCDOJ-01188749
5	D3498			2480.lnd	UMCDOJ-01188840
6 7	D3499			UMC DRAM development concept –Storage Node 0718.pptx	UMCDOJ-01188841
8	D3500			2548.lnd	UMCDOJ-01188920
9	D3501			UMC F32 DRAM to JHICC Transfer Document List-v3.xlsx	UMCDOJ-01188921
10	D3502			2553.lnd	UMCDOJ-01188925
11	D3503			技術文件清单V0.1-20180718-version1.xlsx	UMCDOJ-01188926
12	D3504			2725.lnd	UMCDOJ-01189578
13	D3505			SIPTK Quotation 20180720-UMC.pdf	UMCDOJ-01189579
14	D3506			3719.lnd	UMCDOJ-01189593
15	D3507			2814.lnd	UMCDOJ-01189658
16	D3508			技術文件清单V0.1-20180718-version2.xlsx	UMCDOJ-01189660
17	D3509			4009.lnd	UMCDOJ-01190201
18	D3510			PM2 Device1 F32s Device Target Ver0.1_20180726v1.pptx	UMCDOJ-01190203
19	D3511			3087.lnd	UMCDOJ-01190312
20	D3512			UMC DRAM development concept –Buried Wordline 0727.pptx	UMCDOJ-01190313
21	D3513			3115.lnd	UMCDOJ-01190339
22	D3514			RE report-UMC-20180727.ppt	UMCDOJ-01190341
23	D3515			3116.lnd	UMCDOJ-01190380
24	D3516			RE report-Micron-20180727.ppt	UMCDOJ-01190381
25	D3517			3509.lnd	UMCDOJ-01191125
26	D3518			RE report-Micron-201808010.ppt	UMCDOJ-01191126
27	D3519			3745.lnd	UMCDOJ-01191883

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2	D3520			RE report-UMC-20180821.ppt	UMCDOJ-01191884
3	D3521			1431.lnd	UMCDOJ-01193141
4	D3522			DRAM 元件與製程分析報告-P1-P12.pptx	UMCDOJ-01193142
5	D3523			DRAM 元件與製程分析報告P13-19.pptx	UMCDOJ-01193155
6 7	D3524			2275.lnd	UMCDOJ-01194849
8	D3525			F32 SA SWD eFuse for SA1 181011.pptx	UMCDOJ-01194876
9	D3526			DDR3 reverse engineering analysis_20181009.pptx	UMCDOJ-01194889
10	D3527			2603.lnd	UMCDOJ-01195513
11	D3528			F32 technology development 20181023.pptx	UMCDOJ-01195514
12	D3529			3683.lnd	UMCDOJ-01197070
13	D3530			A New Layout Design to Generate Variable Active Edge Shape in DRAM	UMCDOJ-01197071
14	D3531			9679901 idea source.pptx	UMCDOJ-01197098
15	D3532			3057.lnd	UMCDOJ-01197483
16	D3533			Comparison.xlsx	UMCDOJ-01197484
17	D3534			3113.lnd	UMCDOJ-01197536
18	D3535			Comparison-1.xlsx	UMCDOJ-01197538
19	D3536			3298.lnd	UMCDOJ-01197743
20	D3537			SC Development 1211.pptx	UMCDOJ-01197745
21	D3538			3430.lnd	UMCDOJ-01198031
22	D3539			F32 process BKMSTIv5.pptx	UMCDOJ-01198032
23	D3540			043.lnd	UMCDOJ-01198549
24	D3541			081.lnd	UMCDOJ-01198556
25	D3542			TechInsights-DRAM-Roadmap-2015.pdf	UMCDOJ-01198557
26	D3543			294.lnd	UMCDOJ-01199503
27	D3544			Applied Materials APTD Lab and Capabilities (May'16).pdf	UMCDOJ-01199505

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	D3545			0346.lnd	UMCDOJ-01200292
3	D3546			TV0 Testing update for UMI monthly meeting-20170213.pdf	UMCDOJ-01200299
4 5	D3547			312.lnd	UMCDOJ-01200689
	D3548			1. JSC Proposed SWD.pdf	UMCDOJ-01200696
6 7	D3549			2. F32s 8Gb LP4 Gross Die and Overhead Break Down_Rev1.0.pdf	UMCDOJ-01200703
8	D3550			1. F32s 8Gb LP4 Gross Die and Overhead Break Down.pdf	UMCDOJ-01200707
9	D3551			740.lnd	UMCDOJ-01200794
10	D3552			761.lnd	UMCDOJ-01200833
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18	D3560			795.lnd	UMCDOJ-01200891
19	D3561			picture1.gif	UMCDOJ-01200892
20	D3562			803.lnd	UMCDOJ-01200893
21	D3563			1015.lnd	UMCDOJ-01201059
22	D3564			MAT cell array_edge cell_corner cell_20160229.pptx	UMCDOJ-01201060
23	D3565			1018.lnd	UMCDOJ-01201071
24	D3566			7163.lnd	UMCDOJ-01201544
25	D3567			J_candiate_list.xlsx	UMCDOJ-01201548
26	D3568			Inpartners Profile(Head Hunting) .pptx	UMCDOJ-01201549
27	D3569			7856.lnd	UMCDOJ-01201606
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D3570			Xperi - UMC 14 March Meeting.pdf	UMCDOJ-01201611
D3571			5233.lnd	UMCDOJ-01414023
D3572			Preliminary images Exynos 7420.pdf	UMCDOJ-01414027
D3573			Chronology 20181022-V2.pptx	UMCDOJ-01680260
D3574			TLR revision 紀錄_20171123_v3.xlsx	UMCDOJ-01760852
D3575			2345.lnd	UMCDOJ-01761364
D3576			34條TLR-supporting data.pptx	UMCDOJ-01761365
D3577			34條TLR資料對應表.xlsx	UMCDOJ-01761431
D3578			複本 0.1P3 討論結果與改版原因 _20171115.xlsx	UMCDOJ-01761432
D3579			5445.lnd	UMCDOJ-01821710
D3580			UMC design rule 改版紀錄_0.1P2~0.1P3.ppt	UMCDOJ-01821711
D3581			聯電F32 design rule 改版紀錄 0.1P2~0.1P3.xlsx	UMCDOJ-01821718
D3582			3032.lnd	UMCDOJ-01827233
D3583			F32-SA design rule comparison_20170630.xlsx	UMCDOJ-01827237
D3584			F32-SA design rule comparison_20170628.xlsx	UMCDOJ-01827238
D3585			GT-RPT-170411-002_Ver.0.2(Cover Page).pdf	UMCDOJ-01827239
D3586			PM2 weekly_20180622.pptx	UMCDOJ-01863581
D3587			4812.lnd	UMCDOJ-02657949
D3588			PPID Naming Rule.pptx	UMCDOJ-02657950
D3589			picture1.gif	UMCDOJ-02657963
D3590			0937.lnd	UMCDOJ-02800661
D3591			picture1.gif	UMCDOJ-02800664
D3592			201610_DRAM Roadmap & Micron 20nm DRAM.pdf	UMCDOJ-02844696
D3593			3554.lnd	UMCDOJ-02895005
D3594			Project-M Progress Report_201707_v3.pptx	UMCDOJ-02895006
	NUMBER D3570 D3571 D3572 D3573 D3574 D3575 D3576 D3576 D3577 D3578 D3579 D3580 D3581 D3582 D3583 D3584 D3585 D3586 D3587 D3586 D3587 D3588 D3589 D3590 D3591 D3592 D3593	NUMBER MARKED D3570 D3571 D3572 D3573 D3574 D3575 D3576 D3577 D3578 D3580 D3581 D3582 D3583 D3584 D3585 D3586 D3587 D3588 D3590 D3591 D3593	NUMBER MARKED ADMITTED D3570	NUMBER MARKED ADMITTED DESCRIPTION OF EXHIBIT

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D3621			Process Flow Roadmap_August 2017.pdf	UMCDOJ-03680702
D3622			016a-证人鄒世芳证言(Final).docx	UMCDOJ-03680981
D3623			AJ55 to AJ88 evolution.xlsx	UMCDOJ-03681028
D3624			CONFIDENTIAL UMC Analysis by Experts 09012018.pptx	UMCDOJ-03681034
D3625			DRAM Project Q&A_20171127.pptx	UMCDOJ-03681175
D3626			UMI_華_meeting_20160511.pdf	UMCDOJ-03828714
D3627			UMI_華Pj Monthly Mtg_20160615.pdf	UMCDOJ-03828740
D3628			UMI_華Pj Monthly Mtg_20160713.pdf	UMCDOJ-03828755
D3629			UMI_華Pj Monthly Mtg_20160809.pdf	UMCDOJ-03828778
D3630			UMI_華Pj Monthly Mtg_20160906.pdf	UMCDOJ-03828804
D3631			UMI_華Pj Monthly Mtg_20161011.pdf	UMCDOJ-03828809
D3632			UMI_華Pj Monthly Mtg_20161108.pdf	UMCDOJ-03828829
D3633			UMI_華Pj Monthly Mtg_20170111.pdf	UMCDOJ-03828848
D3634			UMI_華Pj Monthly Mtg_20170214.pdf	UMCDOJ-03828863
D3635			UMI_華Pj_evalDRC_Sum_Detail_161011.pdf	UMCDOJ-03828872
D3636			華Pj Design Review_20161206.pdf	UMCDOJ-03828932
D3637			華Pj Monthly Mtg May 11th AI result.pdf	UMCDOJ-03829003
D3638			DRAM Development Path in UMC_for Board Member.pptx	UMCDOJ-03859402
D3639			BM Pitch discussion.xlsx	UMCDOJ-03859442
D3640			2.pdf	UMCDOJ-03866561
D3641			UMC Tool key component review.xls	UMCDOJ-03866569
D3642			DRAM Development Progress Report_May_ 2017簡.pptx	UMCDOJ-03866600
D3643			Presentation 20170601 FJ.pptx	UMCDOJ-03871296
D3644			PM1 monthly report_May Patent Filing.pptx	UMCDOJ-03872983
	D3620 D3621 D3622 D3623 D3624 D3625 D3626 D3627 D3628 D3629 D3630 D3631 D3632 D3633 D3634 D3635 D3635 D3636 D3637 D3638 D3639 D3640 D3641 D3642 D3643	NUMBER MARKED D3620 D3621 D3622 D3623 D3624 D3625 D3626 D3627 D3628 D3629 D3630 D3631 D3632 D3633 D3634 D3635 D3635 D3636 D3637 D3638 D3639 D3640 D3641 D3642 D3643 D3643	NUMBER MARKED ADMITTED D3620 ————————————————————————————————————	NUMBER MARKED ADMITTED DESCRIPTION OF EXHIBIT

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D3645			JHICC2018 budget input output plan for 3 years rolling1216-20K.XLSX	UMCDOJ-03874472
D3646			PM1 monthly report_Mar_2017.pptx	UMCDOJ-03937262
D3647			DRAM Development Progress Report_APR_ 2017.pptx	UMCDOJ-03938788
D3648			PM1 monthly report_Sep_2017.pptx	UMCDOJ-03953755
D3649			2971.lnd	UMCDOJ-04085606
D3650			20160225 NGR DRAM Application.pdf	UMCDOJ-04085608
D3651			UMC step naming.xlsx	UMCDOJ-04088956
D3652			DRAM Development Progress_0519.pptx	UMCDOJ-04103350
D3653			6138.lnd	UMCDOJ-04109817
D3654			Etch Bi-weekly report 20160719.pptx	UMCDOJ-04109819
D3655			picture1.gif	UMCDOJ-04109827
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D3657			picture6.gif	UMCDOJ-04204309
D3658			DRAM Development Progress_0815_v2.pptx	UMCDOJ-04208283
D3659			UMC step naming.xlsx	UMCDOJ-04362023
D3660			1166.lnd	UMCDOJ-04368944
D3661			Pitch size summary.xlsx	UMCDOJ-04368946
D3662			picture1.gif	UMCDOJ-04368947
D3663			PM1 monthly report_April Patent Filing.pptx	UMCDOJ-04373158
D3664			picture1.gif	UMCDOJ-04373161
D3665			one page summary of litho 2017 0417 JHICC.pptx	UMCDOJ-04373181
D3666			AJ88 LITHO Pilot Summary 2017 0417 for JHICC.xlsx	UMCDOJ-04373182
D3667			recipe avaialble ratio_0320_CMP.xlsx	UMCDOJ-04373191
D3668			2017 NBD 方針展開 _PM1_Q4_2017_CMP.xlsx	UMCDOJ-04379024
D3669			UMC step naming.xlsx	UMCDOJ-04396324
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2	D3670			2016 OS saving Review and Strategy.pptx	UMCDOJ-04404079
3	D3671			Strategy template Stephen 0831.pptx	UMCDOJ-04404128
4	D3672			34條TLR-supporting data.pptx	UMCDOJ-04435071
5	D3673			34條TLR-supporting data_v2.pptx	UMCDOJ-04435137
6 7	D3674			Rule revision 解析.pptx	UMCDOJ-04435617
8	D3675			5244.lnd	UMCDOJ-05091844
9	D3676			List of Design rule need support data.pptx	UMCDOJ-05091845
10	D3677			TLR revision 紀錄_20171124_v5.xlsx	UMCDOJ-05091846
11	D3678			34條TLR-supporting data_v5.pptx	UMCDOJ-05091847
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13	D3680			IT Buildup Strategy V1 - YY.pptx	UMCDOJ-05285744
14	D3681			1.xlsx	UMCDOJ-05285754
15	D3682			动态随机存储器研发年度报告 (2016.12).pdf	UMCDOJ-05958612
16	D3683			03823.msg	UMCDOJ-05970458
17	D3684			锐仕方达简历报告-蒲莉华-QSM经理 2017.09.21(1).doc	UMCDOJ-05970467
18	D3685			锐仕方达简历报告-董荆山-质量可靠性/失效分析经理-2017.9.25.doc	UMCDOJ-05970472
19	D3686			锐仕方达简历报告-邱丁贤-QSM经理 0922.doc	UMCDOJ-05970476
20	D3687			05722.msg	UMCDOJ-05973885
21	D3688			智能生產系統部台籍人員招聘-170925.pptx	UMCDOJ-05973887
22	D3689			郇揃聃隴.jpg	UMCDOJ-05973894
23	D3690			YikunPeng-Resume_v2.docx	UMCDOJ-05973895
24	D3691			□□□岈揃蹋桶_20170607唳.docx	UMCDOJ-05973901
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4	D3697			Big Data台籍人員招聘-170925.pptx	UMCDOJ-05973930
5	D3698			2016垀腕諶頰□□.jpg	UMCDOJ-05973935
6	D3699			Resume-Ting Huan Hsiao.pdf	UMCDOJ-05973936
7 8	D3700			A Neural-Network-based Sketch Recognition System .pdf	UMCDOJ-05973937
9	D3701			□□□岈揃蹋桶隋敺.doc	UMCDOJ-05973941
10	D3702			系統技術及整合部台籍人員招聘- 170925.pptx	UMCDOJ-05973946
11	D3703			□夢銴 _□.pptx	UMCDOJ-05973955
12	D3704			□夢銴薩□.pdf	UMCDOJ-05973960
13	D3705			劉禾茂簡歷.ppt	UMCDOJ-05973963
14	D3706			08672.msg	UMCDOJ-05982200
15	D3707			认识晋华集成电路_20170509-Final.pptx	UMCDOJ-05982202
16	D3708			09527.msg	UMCDOJ-05984271
17	D3709			动态随机存储器研发月报 (2017.03).pdf	UMCDOJ-05984272
18	D3710			3279.msg	UMCDOJ-05999207
19	D3711			PM1 monthly report_May_2017_v1.pptx	UMCDOJ-05999208
20	D3712			399.lnd	UMCDOJ-06001765
21	D3713			在美华人招募.pptx	UMCDOJ-06001767
22	D3714			Self introduction.pptx	UMCDOJ-06006697
23	D3715			Sample_english.pptx	UMCDOJ-06006698
24	D3716			374.lnd	UMCDOJ-06009433
25	D3717			3411.lnd	UMCDOJ-06105699
26	D3718			F32 Technology Transfer Proposal Rev2.pptx	UMCDOJ-06105700
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4 5	D3722			F32 Document Transfer Proposal 20180828.pptx	UMCDOJ-06105911
	D3723			3102.lnd	UMCDOJ-06126069
6 7	D3724			3230.lnd	UMCDOJ-06261828
8	D3725			Strategy template Stephen_0725.pptx	UMCDOJ-06261829
9	D3726			Apple0309_M project introduction_0308r.pptx	UMCDOJ-06522930
10	D3727			8602.lnd	UMCDOJ-06530681
11	D3728			M project introduction_0829.pptx	UMCDOJ-06530683
12	D3729			Basic DRAM operation and Character_20160701.pptx	UMCDOJ-06717541
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17	D3734			picture4.gif	UMCDOJ-06788835
18	D3735			Tech Transfer Native Data Set	UMCDOJTT-00000001
19	D3736			SC–BC Short Improvement 20180604.pdf	UMCDOJTT-00000192
20	D3737			AM01 SNPad W Residue Issue - ET Report.pdf	UMCDOJTT-00000213
21	D3738			DSA RP_0314-36479-O-5DM-100.pdf	UMCDOJTT-00028770
22	D3739			ALDSIN BKM migration summary 10032018.pdf	UMCDOJTT-00148191
23	D3740			Patent - US10103152	N/A
24	D3741			Patent App US20050274102A1	N/A
25	D3742			Patent App US20060131630A1	N/A
26	D3743			Patent App US20060138561A1	N/A
27	D3744			Patent App US20060237766A1	N/A

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4	D3747			Patent App US20080293212A1	N/A
5	D3748			Patent App US20090026515A1	N/A
6 7	D3749			Patent App US20090061329A1	N/A
8	D3750			Patent App US20100219467A1	N/A
9	D3751			Patent App US20100270602A1	N/A
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25	D3767			Patent App US20130320436A1	N/A
26	D3768			Patent App US20140027924A1	N/A
27	D3769			Patent App US20140061743A1	N/A

1	EXHIBIT NUMBER	MARKED	ADMITTED	DESCRIPTION OF EXHIBIT	BATES
2	D3770			Patent App US20140145268A1	N/A
3	D3771			Patent App US20140154882A1	N/A
4	D3772			Patent App US20150014767A1	N/A
5	D3773			Patent App US20150028492A1	N/A
6	D3774			Patent App US20150061004A1	N/A
7	D3775			Patent App US20150061134A1	N/A
8 9	D3776			Patent App US20150104934A1	N/A
0	D3777			Patent App US20150132936A1	N/A
1	D3778			Patent App US20150171014A1	N/A
2	D3779			Patent App US20150200110A1	N/A
	D3780			Patent App US20150206806A1	N/A
1	D3781			Patent App US20150214152A1	N/A
5	D3782			Patent App US20150214362A1	N/A
6	D3783			Patent App US20150255464A1	N/A
7	D3784			Patent App US20150294923A1	N/A
8	D3785			Patent App US20150311297A1	N/A
,	D3786			Patent App US20150333069A1	N/A
)	D3787			Patent App US20150349073A1	N/A
1	D3788			Patent App US20160035788A1	N/A
2	D3789			Patent - US5811869	N/A
3 4	D3790			Patent - US6258732	N/A
	D3791			Patent - US6406971	N/A
5	D3792			Patent - US6438052	N/A
5	D3793			Patent - US7183603	N/A
,	D3794			Patent - US7276443B2	N/A

1	EXHIBIT	MARKED	ADMITTED	DESCRIPTION OF EXHIBIT	BATES
2	NUMBER D3795			Patent - US7349232	N/A
3	D3796			Patent - US7410866	N/A
4	D3797			Patent - US7511328	N/A
5	D3798			Patent - US7563718	N/A
6	D3799			Patent - US7736819	N/A
7	D3800			Patent - US7759248	N/A
8	D3801			Patent - US7790546	N/A
9	D3802			Patent - US7915121	N/A
1011	D3803			Patent - US7919385	N/A
12	D3804			Patent - US8058138	N/A
13	D3805			Patent - US8225255	N/A
14	D3806			Patent - US8278201	N/A
15	D3807			Patent - US8278224	N/A
16	D3808			Patent - US8283229	N/A
17	D3809			Patent - US8312394	N/A
18	D3810			Patent - US8350321	N/A
19	D3811			Patent - US8405136	N/A
20	D3812			Patent - US8486801	N/A
21	D3813			Patent - US8508020	N/A
22	D3814			Patent - US8524601	N/A
23	D3815			Patent - US8546218	N/A
24	D3816			Patent - US8624350	N/A
25	D3817			Patent - US8652927	N/A
26	D3818			Patent - US8692371	N/A
27	D3819			Patent - US8698233	N/A

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1	EXHIBIT NUMBER	MARKED	ADMITTED	DESCRIPTION OF EXHIBIT	BATES
2	D3820			Patent - US8729675	N/A
3	D3821			Patent - US8860110	N/A
4	D3822			Patent - US8878273	N/A
5	D3823			Patent - US8896059	N/A
6	D3824			Patent - US8900967	N/A
7	D3825			Patent - US9093297	N/A
8 9	D3826			Patent - US9136331	N/A
10	D3827			Patent - US9153579	N/A
11	D3828			Patent - US9184227	N/A
12	D3829			Patent - US9202775	N/A
13	D3830			Patent - US9252205	N/A
14	D3831			Patent - US9311443	N/A
15	D3832			Patent - US9589799	N/A
16	D3833			Patent - US9772555	N/A
17	D3834			Patent - US9997373	N/A
18	D3835			GCO wkly update Taiwan Nov 20, 2017.pptx	USD-0667686
19	D3836			00000000E02DA66FDE743D489FBA4E2A8 1611C1184022000.MSG	USD-0669206
20	D3837			00000000D775730D1F570D4ABB3D53A3B D0BDA3FA4012000.MSG	USD-0669604
21	D3838			JHICC Analysis.xlsx	USD-0669605
22	D3839			JHICC Anaylsis and Proposal Summary to Peter 04132018.pptx	USD-0669606
23	D3840			02/2016 JHICC Company Overview [English]	USD-0847617
24	D3841			JHICC Project [English]	USD-0872837
25	D3842			Email re: Breakdown of 53	[TO COME]
26	D3843			Schedule of Agreements	[TO COME]
27	D3844			Email re: Fallback plan	[TO COME]
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1	EXHIBIT NUMBER	MARKED	ADMITTED	DESCRIPTION OF EXHIBIT	BATES
2	D3845			Attachment to email re: Fallback plan	[TO COME]
3	D3846			Email re: 5.1&5.8	[TO COME]
4	D3847			Email re: 2.6(d) & 9.2	[TO COME]
5	D3848			Email re: 2.6(d) revision	[TO COME]
6	D3849			Email re: JH Cash Flow	[TO COME]
7 8	D3850			Attachment to email re: JH Cash Flow	[TO COME]
9	D3851			E-mail re: Draft Technology Cooperation Agreement	[TO COME]
10	D3852			Attachment to email re: Draft Technology Cooperation Agreement	[TO COME]
11	D3853			Attachment to email re: Draft Technology Cooperation Agreement	[TO COME]
12	D3854			E-mail re: DRAM Market Analysis and Strategic Partners	[TO COME]
13	D3855			Attachment to e-mail re: DRAM Market Analysis and Strategic Partners	[TO COME]
14	D3856			Email re: Technology Cooperation Agreement	[TO COME]
15	D3857			Attachment to email re: Technology Cooperation Agreement	[TO COME]
16	D3858			Technology Development (Cooperation) Contract	[TO COME]
17	D3859			Minutes of the Fifth Round Meeting of the First Board of Directors Meetings of JHICC	[TO COME]
18	D3860			Email re: Bod proposals_1117	[TO COME]
19	D3861			Attachment to email re: Bod prposals_1117	[TO COME]
20	D3862			Statement of F32 DRAM Manufacturing Equipment List	[TO COME]
21	D3863			Independent Technology R&D Progress Report	[TO COME]
22	D3864			Employment Contract re: Chen Zhengkun	[TO COME]
23	D3865			Letter of Appointment re: Chen Zhengkun	[TO COME]
24	D3866			Resolution of Board of Directors of JHICC	[TO COME]
25	D3867			PPT of Matters of Photomask Revision Purchase	[TO COME]
26	D3868			Resolutions of the Ninth Round Meeting of the First Board of Directors Meetings of	[TO COME]
27	D3869			Resolutions of the Tenth Round Meeting of the First Board of Directors Meetings of	[TO COME]

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EXHIBIT NUMBER	MARKED	ADMITTED	DESCRIPTION OF EXHIBIT	BATES
D3870			2017 R&D Team Bonuses Distribution Plan Presentation	[TO COME]
D3871			E-mail re: Document transferred to JHICC (DRAM F32 Design Rule)	[TO COME]
D3872			Memorandum on Procurement of Special R&D Tools	[TO COME]
D3873			E-mail re: DRAM Development Annual Report of Y2017	[TO COME]
D3874			Attachment to email re: DRAM Development Annual Report of Y2017	[TO COME]
D3875			Attachment to email re: Draft Technology Cooperation Agreement	[TO COME]
D3876			Email re: Transfer materials for SINO SEMIC Visiting	[TO COME]
D3877			Attachment to email re: Transfer materials for SINO SEMIC Visiting	[TO COME]
D3878			Email re: Transfer materials for SINO SEMIC Visiting	[TO COME]
D3879			Attachment to email re: Transfer materials for SINO SEMIC Visiting	[TO COME]
D3880			Email re: Transfer materials for SINO SEMIC Visiting	[TO COME]
D3881			Attachment to email re: Transfer materials for SINO SEMIC Visiting	[TO COME]
D3882			Email re: Transfer materials for SINO SEMIC Visiting	[TO COME]
D3883			Attachment to email re: Transfer materials for SINO SEMIC Visiting	[TO COME]
D3884			Email re: Transfer materials for SINO SEMIC Visiting	[TO COME]
D3885			Attachment to email re: Transfer materials for SINO SEMIC Visiting	[TO COME]
D3886			Email re: Transfer materials for SINO SEMIC Visiting	[TO COME]
D3887			Attachment to email re: Transfer materials for SINO SEMIC Visiting	[TO COME]
D3888			Email re: Transfer materials for SINO SEMIC Visiting	[TO COME]
D3889			UMC/JHICC DRAM Process Technology Co-Development Outline	[TO COME]
D3890			Note on the Change of the President's Salary Payment to Full Amount	[TO COME]